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Not Just Habits: How Feedback Sensitivity and Belief Updating Shape OCD

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Abstract

5 OCD undergone research has several 6 paradigmatic shifts - from cognitivism that 7 emphasizes cognitive biases and threat-reducing 8 function of compulsions, to the dual-process 9 paradigm that views compulsions as habits. In 10 our study, we build on recent ideas that focus on 11 the relationship between aberrant feedback 12 processing, model-based learning and OCD. 13 Using a probabilistic contingency reversal task 14 on two large online samples and computational 15 modelling, we show that OCD is associated with 16 diminished processing of certain types of 17 feedback (i.e., rewards and valid feedback) and 18 ability to distinguish valid from noise feedback, 19 inflated mental model volatility and excessive 20 epistemic uncertainty. Our results implicate the 21 involvement of biased goal-directed mechanisms 22 in OCD, challenging the habitual view. In future, 23 we want to expand our ideas by investigating the 24 neural correlates of these mechanisms.

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26 Keywords: OCD, reinforcement learning, goal-27 directed behavior, computational modelling

29 Introduction

In the last 40 years, research on obsessive-30 31 compulsive disorder (OCD) has been subjected to 32 several paradigmatic shifts. Cognitive theories (Clark 33 & Purdon, 1993; Salkovskis & Kirk, 1997; Rachman, 34 1997) that emerged in the 1980s converge on the 35 idea that cognitive biases, which induce excessive 36 threat appraisal and/or inflated agency and 37 responsibility are the main source of obsessions. 38 Compulsions are seen as goal-directed strategies 39 that aim to reduce these threats and/or ensuing fear. 40 While, the cognitive view dominated research and 41 clinical practice for many years, a shift began to 42 appear around 2010. The diversion was based on (a) 43 neuroimaging findings that showed aberrant activity 44 in brain areas involved in goal-directed behavioral 45 control and (b) the suspicion that the cognitive 46 theories cannot explain a phenomenon frequently

47 observed in OCD: ego-dystonia. It was proposed that 48 an imbalance between the goal-directed and habitual 49 system, in which habits dominate, may be the root 50 cause of the disorder (Gillan et al., 2016). In this view, 51 compulsions are no longer goal-directed behaviors, 52 but rather automated uninhibited reactions to stimuli. 53 Evidence for this idea came from reinforcement 54 learning studies, in which participants learn response-55 outcome contingencies and adapt to changes in 56 these contingencies. Although individuals with OCD 57 seemed to have shown no deficit in the initial learning. 58 they exhibited difficulties in adapting to contingency 59 changes (Gillan et al., 2011, 2014, 2015).

60 However, several empirical findings are hard to 61 integrate with the habitual view of OCD. For instance, 62 neuroimaging studies showed no abnormalities in 63 habit-related areas (Gillan et al., 2015), while a meta-64 analysis observed no reliable evidence for cognitive 65 inflexibility in OCD after controlling for cognitive 66 capacity (Fradkin et al., 2018).

67 More recently, new ideas focusing on how individuals 68 employ feedback to construct mental models, have 69 emerged. Here, studies found OCD to be related to 70 increased state-transition uncertainty (Fradkin et al., 71 2021), abnormal state-transition learning rates (Sharp 72 et al., 2023) and abnormal reactivity to prediction 73 errors (Hoven et al., 2023; Vaghi et al., 2017). These 74 results all indicate an inability of sufficient use of 75 feedback signals in building mental models of the 76 environment.

77 We build on and complement these proposals with 78 our theoretical view, in which biased goal-directed 79 processes play the central role. We propose that 80 learning biases - how outcome feedback is 81 (mis)interpreted affect _ individual's mental 82 representation of their environment, which can subsequently lead to obsessions and compulsions. 83 Specifically, we hypothesize that discrepancy 84 85 detection, the first step in a goal-directed cycle (Moors et al., 2017), is distorted by a combination of 86 87 punishment hypersensitivity and reward hyposensitivity. In a probabilistic environment, where 88 89 feedback signals differ not only in their valence 90 (rewards vs. punishments) but also informativeness 91 (valid vs. noise) this distortion affects the individual's 92 ability to distinguish between informative and 14093 uninformative feedback signals. This leads to 14194 instability in constructing mental models and inflated 142

95 epistemic uncertainty.

96 Methods

97 To test our hypotheses, we employed two versions of 145 98 a probabilistic contingency reversal task (Buabang et 146 al., 2023) on two large, heterogenous online samples 99 147 100 $(N = 273 \times 2)$ and examined how patterns of behavior 101 and mental model building vary with OCD symptom 102 severity. Participants had to learn a probabilistic link 103 between a response (pressing left or right arrow) and 151 104 an (a diamond/reward, outcome or а 105 rock/punishment) for various stimuli (doors of 106 different colors), which was subjected to an 107 unannounced reversal. We measured participants' behavioral patterns (i.e., the rate of optimal decisions 108 and alternation between different response options) 109 110 and the process of mental model formation via 111 computational modelling. Psychopathology was assessed with OCI-R (Foa et al., 2002), DASS-21 112 113 (Lovibond & Lovibond, 1995) and OBQ-9 (Gagne et 114 al., 2018) questionnaires. 115 Computational models included block-wise fitting an 116 adapted Incremental State-Transition Learning model

117 (ISTL, Sharp et al., 2023) and an adapted Bayesian 118 Change-Point model (Fradkin et al., 2020) at the 119 individual participant and stimulus level. ISTL model 120 learns through reinforcement based on state-121 prediction errors (Equation 1), while the BCP model 122 involves Bayesian belief updates based on assessed 123 reliability of the feedback (Equation 2). In both 124 models, we measured; (1) the weight participants 125 give different types of feedback (learning rates for 126 rewards vs. punishments and valid vs. noise 127 feedback), (2) belief volatility (belief-reset probability 128 or hazard rate), (3) difference between epistemic 129 (individual) and aleatoric (environmental) uncertainty, 130 and (4) value-based decision determinism (inverse 131 temperature in SoftMax). We then regressed these parameters against OCD severity, while controlling 132 133 for depression, anxiety, stress, age and gender. 13/

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$$T[a,s]_{t+1} \leftarrow h \cdot 0.5 + (1-h) \cdot [T[a,s]_t + \gamma \cdot (1-1)]$$

136 $T[a,s]_t$ (1)

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$$Belief_{t+1} \leftarrow h \cdot 0.5 + (1-h) \cdot \frac{P(Feedback|Belief_t) \cdot Belief_t}{P(Feedback)}$$

139 (2)

Results

Our behavioral results show that OCD symptoms are associated with decreased probability of optimal 143 responding (β = -.22, p < .001), and increased 144 probability of response switching, especially after rewards (β = .27, p < .001). Computationally, we observed deflated weighting of rewards and valid feedback signals (y in Fig. 1), indicating decreased use 148 of these types of feedback and inflated belief volatility 149 (h in Fig. 1), indicating instability in mental model 150 building. OCD symptoms were also associated with diminished ability to distinguish noise from valid 152 feedback (n in Fig. 1) and excessive epistemic 153 uncertainty (oe-oa in Fig. 1). Lastly, we observed less 154 value-based decision determinism (ß in Fig. 1), which 155 indicates a tendency towards exploration rather than 156 exploitative response selection.



Figure 1. Regression coefficients for OCI-R scores as predictors of various computational parameters in the ISTL and BCP model in the second experiment. h – hazard rate; $\sigma e - \sigma a$ – calibration between epistemic and aleatoric uncertainty; γ – learning rates for different types of feedback; η – difference between γ valid and γ noise; β – inverse temperature. * *p* < 0.05; ** *p* < 0.01; *** *p* < 0.001.

165 Conclusions

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166 Our study provides further evidence that OCD is 167 associated with malfunctions in feedback processing 168 and mental model building rather than habitual 169 rigidity. The increased instability of beliefs and 170 excessive uncertainty in individuals with a higher 171 degree of OCD symptoms might stem from their 172 inability to use rewards and valid feedback 173 adequately. In this view compulsions serve as goal-174 directed information seeking strategies, which aim at 175 resolving the excessive epistemic uncertainty. In our 176 future research, we plan to examine how the 177 computational parameters of interest correlate with 178 brain signals, especially those in areas related to 179 feedback processing (e.g., ACC).

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