Understanding the Neuro-Cognitive Mechanisms of Orthographic Learning in Humans and Baboons: A Comparison of Mechanistic and Connectionist Models

Janos Pauli and Benjamin Gagl

Self Learning Systems Lab, University of Cologne, Cologne, Germany

47

68

69

70

71

72

89

90

Abstract

1

24

43

Learning to read is essential for social participation. 48 2 Here, we investigate how humans and baboons learn or-⁴⁹ 3 thographic information. We use a neuro-cognitive mecha-⁵⁰ 4 nistic model—the Speechless Reader (SLR) and two con-⁵¹ 5 nectionist models (CORnet-Z and ResNet-18) to investi-52 6 gate a human and a baboon dataset. The connection-53 7 ist models employ neuronally plausible CNN architec-54 8 tures, while the SLR provides transparent implementa- 55 9 tions of orthographic decision behavior using pixel, let-⁵⁶ 10 ter, and letter sequence level prediction errors as repre-57 11 12 sentations. To align models and data, we train the mod-58 els using identical trial sequences for each human and 59 13 baboon. The SLR outperforms the CNNs across both 60 14 species, especially on trial-wise metrics. While CNN re-61 15 sponses diverge from individual behavioral patterns, the 62 16 SLR's interpretable errors reveal that the complexity of or- 63 17 thographic representations increases with training. This 64 18 finding suggests that domain-specific mechanistic mod-65 19 els offer valuable insight into learned visual behavior 66 20 67 across species. 21

Keywords: Reading; Computer Vision; Orthographic Decisions; Neuro-Cognitive Phenotyping; Humans; Baboons

Introduction

Efficient reading is critical for success in modern societies 25 (Huettig & Pickering, 2019). Reading research is dominated 73 26 by two types of models: mechanistic and connectionist mod-27 els. Mechanistic models provide a transparent, handcrafted 74 28 implementation of cognitive processes in reading (Coltheart 75 29 et al., 2001). This line of research was particularly success-76 30 ful in generating effective remediation programs (i.e., phon-77 31 ics; Galuschka et al.,2014) and descriptions of individual dif-78 32 ferences in reading behavior (i.e., computational phenotypes; 79 33 Perry et al., 2019). In contrast to these domain-specific mod-80 34 els, data-driven connectionist models successfully described 81 35 benchmark effects in reading behavior (i.e., Seidenberg & 82 36 McClelland, 1989; see Norris (2013) for a review). Recent 83 37 work increasingly integrates CNN-based vision models to un-84 38 derstand behavior and neural dynamics. Here, we assess 85 39 whether learning orthographic stimuli-letter strings-can be 86 40 better understood through a mechanistic, neuro-cognitively 87 41 88 grounded approach. 42

Methods

We use two orthographic learning datasets. Baboons 91
 (Grainger et al., 2012) and humans (Eisenhauer et al., 2019) 92
 participants and models learned to classify known and novel 93

letter strings over multiple trials. We used three models for simulation: (i) Speechless Reader (SLR, Gagl et al., 2024): A mechanistic model allowing the inspection of how participants learned. (ii) CORnet-Z: A shallow recurrent CNN designed to mimic cortical processing (Kubilius et al., 2018). (iii) ResNet-18: A deeper CNN with skip connections (He et al., 2016).

We trained CORnet-Z and ResNet-18 models using Py-Torch (Paszke et al., 2019) with a binary output layer (familiar vs. novel) and cross-entropy loss. One model was trained for each human and baboon (learning rate of 0.0001; Adam optimizer (Kingma & Ba, 2014)). Input stimuli were grayscale letter strings (228×228 pixels, black Arial on white). To simulate prior visual experience, early layers were frozen in ImageNetpretrained models (ResNet: "conv1", "bn1", "layer1"; CORnet: "V1", "V2"). Human models were further pre-trained on a lexical decision task using 1,074 German words and 1,074 pseudowords. Baboons' models were trained for one epoch on the same stimuli they saw, without validation or data augmentation, mirroring the experimental setup (see Hannagan et al.,2014,Linke et al.,2017 for a similar approach). Human models were fine-tuned across four training epochs and validated on font-switched data (Times New Roman), which matched the experimental sessions (N = 960; n = 240 per session). We measured model accuracy and used mean-squared errors and trial-wise similarity (see Geirhos et al., 2020) to compare the model to participant responses.

Results

Baboon Data. Baboons improved their performance gradually, with the first noticeable gains after about 1,000 trials (Fig. 1A). In contrast, both SLR models achieved higher performance much earlier-around 60% for the best-fitting and 70% for the best-performing variant. ResNet performed nearly perfectly, and CORnet showed a performance of around 85%. These differences in the behavior resulted in higher MSE values, reflecting the difference between model and baboon performance, for connectionist models than the mechanistic SLR implementations (Fig. 1B). After approximately 10,000 trials, all models reached similar accuracy levels. Still, the best-fit SLR model had the lowest MSE and the highest trial-wise similarity (Fig. 1C). While connectionist models showed a lower trial-wise similarity than both SLR models, they still had a higher similarity (i.e., κ) than the average between-baboon similarity.

Human Data. Mean human and model accuracies show an increase with learning for all except the CORnet model (see Fig. 1D). Human performance was unmatched, with only the best fitting SLR achieving 80% accuracy after four training



Figure 1: Baboon, human and model performance from the ResNet, CORnet, and two Speechless Reader model implementations (Best-fit and Best-performance variant). (A) Baboon, SLR, and CNN training session-level accuracy, including the 95% confidence intervals. (B) Model fit based on session-level mean squared errors comparing model with baboon performance. (C) Error consistency values (Cohens κ) plotted against the expected error overlap by chance, comparing model and baboon behavior on the level of single trials. Higher κ values indicate stronger item-level behavioral agreement that tends to increase with the expected error overlap (i.e., at high accuracies, the expected overlap is typically higher). The expected error overlap is based on the accuracies of two models (e.g., best-fit SLR and human). The higher the two model accuracies are, the more likely it is that they made the same item-level decisions by chance. In (D), we show session-level accuracy and CNN validation accuracy (E), session-level model fit, and (F) trial-level error consistency for the human dataset.

122

⁹⁴ sessions. Again, connectionist models had lower overall and ¹¹⁵ ⁹⁵ trial-wise similarity than both SLR variants (see Fig. 1E/F).¹¹⁶ ⁹⁶ Only ResNet showed a relatively high κ of 0.14 in the first ¹¹⁷ ⁹⁷ session (i.e., highest κ of all connectionist models, Range: -¹¹⁸ ⁹⁸ 0.02 - .10). Thus, both SLR models simulated the learning¹¹⁹ ⁹⁹ trajectories more accurately in both datasets, suggesting that¹²⁰ ¹⁰⁰ we can utilize them for computational phenotyping. ¹²¹

SLR123 **Neuro-cognitive** Phenotypes. The best-fitting 101 model reveals that. early in learning, all three₁₂₄ 102 representations-visual-pixel-level (oPE), letter-level (LPE),125 103 and sequence-level (sPE)-are engaged in both humans and 126 104 baboons (Humans/Baboons, % oPE: 19/59, % LPE: 97/66, %127 105 sPE: 92/67). As experience increases and oPE becomes less128 106 relevant, reliance shifts toward LPE and sPE, which support129 107 orthographic processing (Humans/Baboons, % oPE: 0/31,130 108 % LPE: 100/97, % sPE: 100/88). This shift coincides with 131 109 growing differences in prediction errors between learned and 132 110 novel letter strings, leading to a decline in the informativeness133 111 of oPE (oPE difference learned/novel: early: -.7/-.4; late:134 112 -.6/-.1). Eventually, oPE shows the smallest error differences,135 113 explaining its reduced contribution to orthographic decisions. 136 114

Discussion

Here, we demonstrate that simple, domain-specific models, such as SLR, can effectively capture the learning dynamics of both baboons and humans in orthographic learning, outperforming general-purpose connectionist models (ResNet, CORnet) with increased interpretability. In baboons, connectionist models rapidly reached ceiling performance due to task simplicity (i.e., frequent stimulus repetition). In contrast, in the human dataset, connectionist models struggle as task difficulty increases (i.e., more stimuli are learned in fewer trials). In contrast, the SLR models revealed a consistent learning progression. From the used representation, we find that with learning, representations change from low-level pixel representations to higher-level orthographic units, aligning with theories of reading development (Gagl et al., 2015).

SLR's strength lies in its parsimony (only one free parameter), resilience to overfitting, and ability to offer interpretable, individual-level cognitive insights. These qualities make it wellsuited to model reading, learning, and identifying precursors of reading difficulties. Ultimately, the results support the value of mechanistic, task-specific models in cognitive neuroscience and reading research.

References

191

137

Coltheart, M., Rastle, K., Perry, C., Langdon, R., & Ziegler,¹⁹²
 J. (2001). Drc: a dual route cascaded model of visual¹⁹³
 word recognition and reading aloud. *Psychological review*,¹⁹⁴
 108(1), 204.

Eisenhauer, S., Fiebach, C. J., & Gagl, B. (2019). Context-¹⁹⁶
 based facilitation in visual word recognition: Evidence¹⁹⁷
 for visual and lexical but not pre-lexical contributions.¹⁹⁸
 eNeuro, 6(2). Retrieved from https://www.eneuro.org/¹⁹⁹
 content/6/2/ENEURO.0321-18.2019
 doi: 10.1523/²⁰⁰
 ENEURO.0321-18.2019

Gagl, B., Hawelka, S., & Wimmer, H. (2015, apr). On sources²⁰²
 of the word length effect in young readers. *Scientific Stud*²⁰³
 ies of Reading, *19*(4), 289–306. Retrieved from https://²⁰⁴
 doi.org/10.1080%2F10888438.2015.1026969
 doi: 10²⁰⁵

152 .1080/10888438.2015.1026969 206

Gagl, B., Weyers, I., Eisenhauer, S., Fiebach, C. J., Colombo,²⁰⁷
 M., Scarf, D., ... Mueller, J. L. (2024). Non-human²⁰⁸
 recognition of orthography: How is it implemented and²⁰⁹

how does it differ from human orthographic processing.²¹⁰

157 bioRxiv. Retrieved from https://www.biorxiv.org/²¹¹

158 content/early/2024/08/13/2024.06.25.600635 doi?¹²

159 **10.1101/2024.06.25.600635** ²¹³

Galuschka, K., Ise, E., Krick, K., & Schulte-Körne, G. (2014).²¹⁴
 Effectiveness of treatment approaches for children and ado-²¹⁵
 lescents with reading disabilities: A meta-analysis of ran-²¹⁶
 domized controlled trials. *PloS one*, *9*(2), e89900.

Geirhos, R., Meding, K., & Wichmann, F. A. (2020). Be-²¹⁸
 yond accuracy: quantifying trial-by-trial behaviour of cnns²¹⁹
 and humans by measuring error consistency. *CoRR*,²²⁰
 abs/2006.16736. Retrieved from https://arxiv.org/²²¹
 abs/2006.16736

Grainger, J., Dufau, S., Montant, M., Ziegler, J. C.,²²³
& Fagot, J. (2012). Orthographic processing in
baboons (papio papio). *Science*, *336*(6078), 245–
248. Retrieved from https://www.science.org/doi/

abs/10.1126/science.1218152 doi: 10.1126/science 174 .1218152

Hannagan, T., Ziegler, J. C., Dufau, S., Fagot, J., & Grainger,
J. (2014, Jan). Deep learning of orthographic representations in baboons. *PLoS ONE*, *9*(1), e84843. Retrieved from https://doi.org/10.1371/journal.pone
.0084843 doi: 10.1371/journal.pone.0084843

He, K., Zhang, X., Ren, S., & Sun, J. (2016, June). Deep
residual learning for image recognition. In *Proceedings of the ieee conference on computer vision and pattern recog- nition (cvpr).*

Huettig, F., & Pickering, M. J. (2019, Jun). Literacy advantages beyond reading: Prediction of spoken language. *Trends in Cognitive Sciences*, 23(6), 464–475. Retrieved
from https://doi.org/10.1016/j.tics.2019.03.008
doi: 10.1016/j.tics.2019.03.008

Kingma, D. P., & Ba, J. (2014). Adam: A method for stochastic
 optimization. *arXiv preprint arXiv:1412.6980*.

- Kubilius, J., Schrimpf, M., Nayebi, A., Bear, D., Yamins, D. L. K., & DiCarlo, J. J. (2018). Cornet: Modeling the neural mechanisms of core object recognition. *bioRxiv*. Retrieved from https://www.biorxiv.org/ content/early/2018/09/04/408385 doi: 10.1101/ 408385
- Linke, M., Bröker, F., Ramscar, M., & Baayen, H. (2017, Aug). Are baboons learning "orthographic" representations? probably not. *PLOS ONE*, *12*(8), e0183876. Retrieved from https://doi.org/10.1371/journal.pone .0183876 doi: 10.1371/journal.pone.0183876
- Norris, D. (2013, Oct). Models of visual word recognition. *Trends in Cognitive Sciences*, *17*(10), 517-524. Retrieved from https://doi.org/10.1016/j.tics.2013.08.003 doi: 10.1016/j.tics.2013.08.003
- Paszke, A., Gross, S., Massa, F., Lerer, A., Bradbury, J., Chanan, G., ... Chintala, S. (2019). Pytorch: An imperative style, high-performance deep learning library. In
 H. Wallach, H. Larochelle, A. Beygelzimer, F. d'Alché-Buc, E. Fox, & R. Garnett (Eds.), Advances in neural information processing systems (Vol. 32). Curran Associates, Inc. Retrieved from https://proceedings .neurips.cc/paper_files/paper/2019/file/ bdbca288fee7f92f2bfa9f7012727740-Paper.pdf
- Perry, C., Zorzi, M., & Ziegler, J. C. (2019). Understanding dyslexia through personalized large-scale computational models. *Psychological Science*, 30(3), 386–395. Retrieved from https://doi.org/10.1177/ 0956797618823540 (PMID: 30730792) doi: 10.1177/ 0956797618823540
- Seidenberg, M. S., & McClelland, J. L. (1989). A distributed, developmental model of word recognition and naming. *Psychological review*, *96*(4), 523.