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6	'NOT Gate', Not 'Gate':
7	Long-term Memory Interplay with Working Memory via Reversal Coding
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Abstract

- Working memory (WM) is capacity-limited but can recruit 2
- long-term memory (LTM) to overcome such limitations. A 3
- critical question regarding this interplay is: How does LTM 4
- content flow into WM? Specifically, while recruiting LTM 5
- content that aligns with the current WM task is beneficial, 6
- recruiting inconsistent LTM content might impair 7

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- performance. In this study, we investigated this question using 8
- EEG and the inverted encoding model to decode both WM 9
- and LTM content in real time. Contrary to the mainstream 10
- 'flexible gate' hypothesis, which suggests that a gate 11
- selectively allows beneficial LTM content to enter WM, we 12
- 13 found that LTM content was consistently decoded, regardless
- of whether it was beneficial. Importantly, LTM content was 14
- represented in a reversed manner (inhibition) compared to 15
- WM content (activation). We supported this reversal coding of 16
- LTM functions to minimize interference with WM, benefiting 17
- WM performance. Our findings challenge the 'flexible gate' 18
- theory and suggest a 'NOT gate' mechanism regarding how 19
- LTM interplays with WM, where the coding of LTM content 20 is systematically reversed after entering WM.
- 21 Keywords: working memory: long-term memory: 22
- EEG; inverted encoding model 23

Introduction

- Working memory (WM) is capacity-limited (Luck & Vogel, 25 1997). To support complex cognitive functions, WM can 26 recruit preexisting knowledge from long-term memory (LTM; 27 28 Oberauer, 2009). However, indiscriminately incorporating all LTM content does not always benefit WM tasks. While 29 recruiting LTM content that aligns with the current WM task 30 is beneficial, recruiting inconsistent LTM content might 31 impair performance. Therefore, a critical question about the 32 interplay between LTM and WM is: How does information 33 flow from LTM into WM? 34 One mainstream theory, the 'flexible gate' hypothesis $\frac{1}{81}$ 35 (Mızrak & Oberauer, 2022; Oberauer et al., 2017), posits that 36 a flexible gate selectively allows beneficial LTM content into 37 WM while blocking harmful content. 38 In the present study, we employed EEG combined 39 with the inverted encoding model (IEM; Sprague et al., 2014) 40
- to investigate how information flows from LTM into WM and 41 critically tested the flexible gate hypothesis. 42 88

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Method

- Given that Experiments 1 and 2 employed identical paradigms 92 44 with different stimulus sets, we focus on Experiment 1 (N =45 93 46 35) here. It comprised three tasks: 94
- IEM Training Task. Participants memorized six 47 orientations. Using recorded EEG data, we trained an IEM to 48
- reconstruct orientation representations based on EEG channel 49
- responses. The inverted encoding model (IEM) was trained 50

- using data from all 64 EEG channels. Unlike traditional 51
- classification methods, IEM can decode multiple 52

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- representations simultaneously. By reconstructing channel 53
- responses associated with distinct orientations, we computed 54
- the slope centered on a given orientation (Figure 1a &b). 55
 - Specifically, we centered the profile on the target orientation and symmetrically folded the left side onto the right (Figure 1a & b), allowing for slope estimation relative to the target. A significant negative slope indicates activation, a significant positive slope indicates inhibition, and a non-significant slope suggests no representation. To validate the trained IEM, we applied it to the same IEM training task, confirming successful decoding through a significant negative slope (Figure 2a).



Figure 1: a) Training of IEM; b) Potential IEM outputs.

LTM Learning Task. Participants memorized three color-orientation pairs in LTM. This phase included two learning sessions followed by two testing sessions to ensure successful learning (Figure 2b).

WM Task. Participants sequentially learned two color-orientation pairs. A color cue was then presented, requiring them to recall the associated orientation (Figure 2c). To detect potential latent (or silent) WM/LTM representations, we applied a pinging impulse (Wolff et al., 2017), as such representations typically become accessible only after impulse stimulation.

The WM task included three conditions of colororientation pairs (Figure 2c and d). In the consistent LTM condition, each color - orientation pair matched a previously learned association from the LTM task. Thus, retrieving the LTM pair could facilitate WM performance. In the inconsistent LTM condition, the color had been paired with an orientation in the LTM task but was now associated with a different orientation. As a result, retrieving the original LTM association would interfere with WM performance. In the no LTM condition, the color was novel and had not appeared in the LTM task, such that no prior association could be retrieved to affect the WM task.

We examined WM and LTM decoding during the encoding of the first color-orientation pair and the retrieval phase of the WM task. Under the flexible gate hypothesis, successful WM decoding but failed LTM decoding in the inconsistent LTM condition would be expected.



2 Figure 2: a-c) Procedure of IEM Training, LTM Learning, and WM 3 Task; d) Three conditions of color-orientation pairs: consistent LTM 4 condition, inconsistent LTM condition, and no LTM condition.

Results and Discussion

Contrary to the flexible gate hypothesis, LTM content 7 was successfully decoded (cluster-based permutation) 8 regardless of its consistency with WM content (both in the 9

consistent and inconsistent LTM conditions). 10

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Specifically, we computed IEM slopes separately for 11 the orientation maintained in WM and the orientation 12

previously associated with the same color cue in LTM. 13

specifically within the inconsistent LTM condition. 14

Additionally, we extracted IEM slopes for WM orientations in 15

the no LTM condition, as well as for the shared WM-LTM 16

orientation in the consistent LTM condition. 17

LTM orientations were consistently decodable in 18 both the inconsistent and consistent LTM conditions, whereas 19

WM orientations were decodable in the inconsistent and no 20 LTM conditions (Figure 3a). 21

Critically, rather than being positively coded like 22 WM content (activation: negative slope), LTM content was 23 encoded in a reversed manner (inhibition: positive slope), as 24 shown in Figure 3a. 25

To further validate reversal coding, we compared the 26 27 averaged channel responses between positive (WM in No LTM condition) and reversal coding (LTM in Consistent LTM 28 Condition). If reversal coding occurs, channel responses 29 should be lower for reversal coding than for positive coding 30 48 (Figure 3b). As predicted, reversal coding yielded significantly 49 31 50 lower channel responses during retrieval (Figure 3c). 32 51 We propose that reversal coding serves a functional 33 52 role: by inverting the sign of LTM representations, 34 53 interference with WM is minimized, thereby enhancing WM 35 54 performance. To test this, we divided participants into two 36 55 groups based on WM performances. Supporting this view, 37 56 participants with superior WM performance exhibited stronger 57 38 58

39 reversal coding of LTM.

In a conceptual replication (Experiment 2), we replaced the separate color and Gabor (two objects) with a single colored Gabor while keeping all other settings identical to Experiment 1. The results replicated those of Experiment 1, further confirming their robustness.

Together, our findings challenge the 'flexible gate' theory and instead suggest that information flow from LTM to WM operates under a 'NOT gate' mechanism.



Figure 3: a) Decoding of WM and LTM contents across three conditions. Unlike WM content, which was positively coded (negative slope, with significant time duration marked by red lines), LTM content was encoded in a reversed manner (positive slope, with significant time duration marked by green lines); b) Diagram of positive coding and reversal coding; b) Differences in averaged channel responses between reversal (LTM) and positive (WM) coding. Red lines indicate the time duration during which reversal coding elicited significantly lower channel responses compared to positive coding.

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